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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 14]  
(preferred arrangement set forth below)  

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 7 ]

5. Oath or Declaration [ Total Pages 2 ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*

i.  **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. \_\_\_\_\_ / \_\_\_\_\_

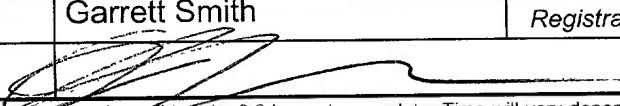
Prior application information: Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/>	Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		<input type="checkbox"/>	Correspondence address below	
Name							
Address							
		City		State		Zip Code	
Country		Telephone		Fax			

Name (Print/Type)	Garrett Smith		Registration No. (Attorney/Agent)			
Signature					<input type="checkbox"/>	<span style="font-size: 1.5em;">2/14/2002</span>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

412.00

*Complete if Known*

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity  
Fee Code (\$)  
Fee Code (\$)

Fee Paid

101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	0.00
107	510	207	255	Plant filing fee	0.00
108	740	208	370	Reissue filing fee	0.00
114	160	214	80	Provisional filing fee	0.00

SUBTOTAL (1) (\$)

370.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	12	-20** = 0	0.00
Multiple Dependent	4	-3** = 1	42.00
			42.00

**Large Entity Small Entity**

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

42.00

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	0.00
127	50	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	Non-English specification	0.00
147	2,520	For filing a request for ex parte reexamination	0.00
112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	Extension for reply within first month	0.00
116	400	Extension for reply within second month	0.00
117	920	Extension for reply within third month	0.00
118	1,440	Extension for reply within fourth month	0.00
128	1,960	Extension for reply within fifth month	0.00
119	320	Notice of Appeal	0.00
120	320	Filing a brief in support of an appeal	0.00
121	280	Request for oral hearing	0.00
138	1,510	Petition to institute a public use proceeding	0.00
140	110	Petition to revive - unavoidable	0.00
141	1,280	Petition to revive - unintentional	0.00
142	1,280	Utility issue fee (or reissue)	0.00
143	460	Design issue fee	0.00
144	620	Plant issue fee	0.00
122	130	Petitions to the Commissioner	0.00
123	50	Processing fee under 37 CFR 1.17(q)	0.00
126	180	Submission of Information Disclosure Stmt	0.00
581	40	Recording each patent assignment per property (times number of properties)	0.00
146	740	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	740	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
179	740	Request for Continued Examination (RCE)	0.00
169	900	Request for expedited examination of a design application	0.00
		Other fee (specify) _____	0.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

**SUBMITTED BY***Complete (if applicable)*

Name (Print/Type)

Garrett A. Smith

Registration No.  
(Attorney/Agent)

Telephone

805-549-0907

Signature

Date

2/14/2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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